

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gary Alan KEMP II et al.

Title: CLICK BASED TRADING WITH INTUITIVE GRID DISPLAY OF
MARKET DEPTH

Appl. No.: 09/590,692

Filing Date: 06/09/2000

Examiner: R. Weisberger

Art Unit: 3624

RECEIVED
TECHNOLOGY CENTER 3609
03 OCT 21 AM 8:31**INFORMATION DISCLOSURE STATEMENT**
UNDER 37 CFR §1.56Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

10/28/2003 SWILLIAH 000000007 09552692
01 FC:1814
10.00 0P

RELEVANCE OF EACH DOCUMENT

Document A7 relates to a Commodity Exchanging Apparatus, Commodity Exchanging System, Commodity Exchanging Method and Storage Medium.

English translations of the foreign-language document is not readily available; however, an English language abstract is attached herewith. The absence of such a translation does not relieve the PTO from its duty to consider the submitted document (37 C.F.R. § 1.98 and MPEP § 609).


Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date October 20, 2003

By


By No 41514

FOLEY & LARDNER
Customer Number: 22428
Telephone: (202) 672-5485
Facsimile: (202) 672-5399

William T. Ellis
Attorney for Applicant
Registration No. 26,874